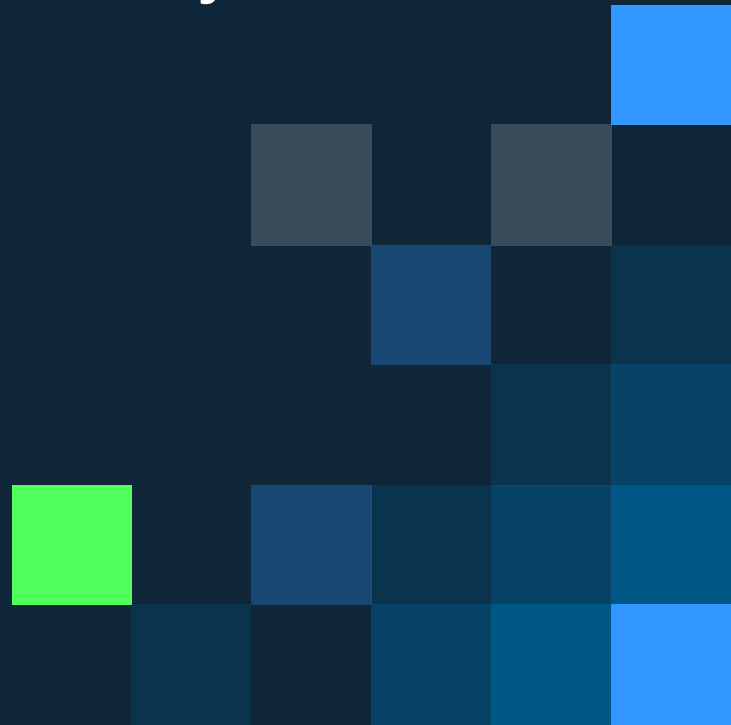


DOW JONES

Proactive Risk Intelligence: How Modern Adverse Media Screening Acts as an Early Warning System

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Agenda

1. The Need
2. The Challenges
3. Solutions
4. Case Study

Dow Jones Risk & Compliance overview

Established in 2000, Dow Jones Risk & Compliance has served **over 10,000 global customers**, and works with some of the world's largest and most recognized brands.

We work with customers in **all major markets around the world:** the Americas, Europe, the Middle East, Africa and across Asia.

Dow Jones helps corporates and financial institutions comply with Sanctions, Anti-Corruption and Anti-Money Laundering requirements and reduce reputational risk. We work across all sectors:



Aerospace & Defense



Automotives & Machinery



Banking



Business & Consumer Services



Construction & Real Estate



Education



Financial Services



Gaming



Government



Healthcare & Pharmaceuticals



Insurance



Legal & Compliance



Non-profit



Oil, Gas, Extractives



Technology



Transportation & Shipping

Global firms trust Dow Jones to deliver

Proactive Risk Intelligence

Scalable Services

Dow Jones Risk & Compliance works with companies with well-established, mature compliance programs as well as start-ups and small businesses with early processes to manage risk.

Trustworthy, Vetted Data

Our data, technology and services are regularly subject to external vetting, including by independent third-party PwC.

Our sanctions data has been verified by the ISAE 3000 Assurance Report and our systems are ISO 27001 certified.

Best-in-Class Resource

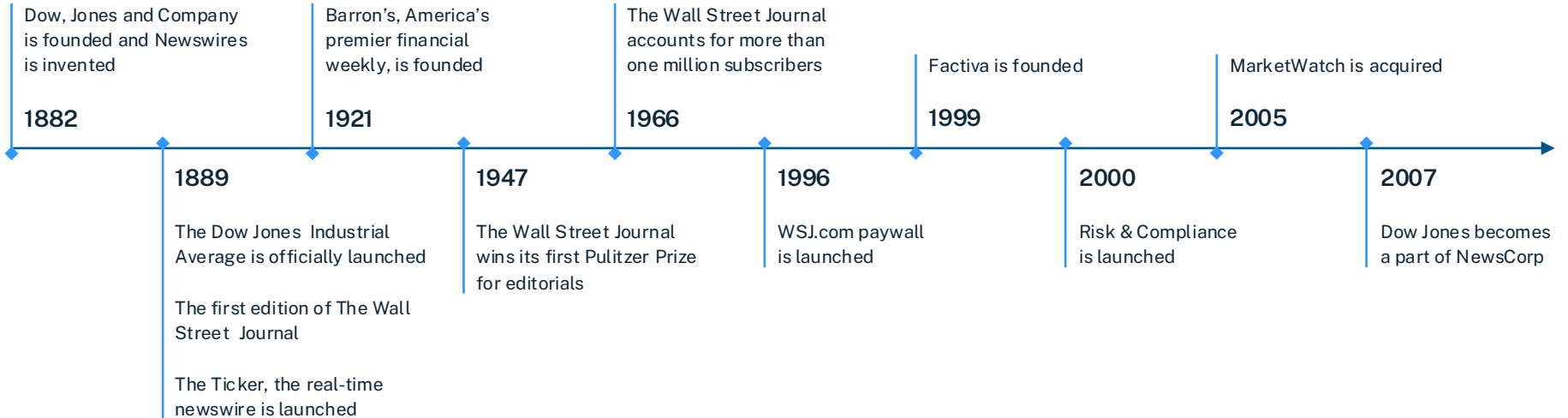
Our award-winning data has been rated as a leader by analysts.

“The comprehensiveness of the Dow Jones solution gives firms certainty on risk.”

“A clear winner.”

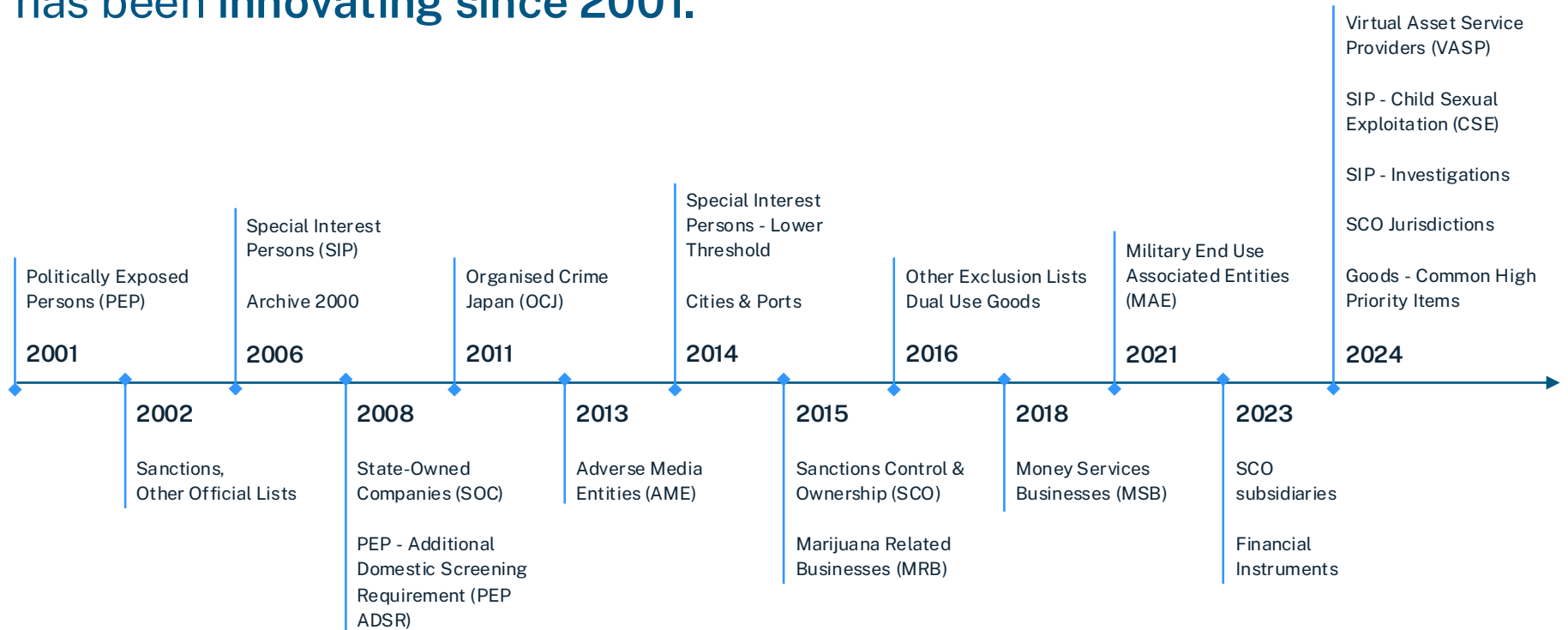
Named by Chartis as a category leader in Watchlist monitoring and name screening solutions

Since 1882, Dow Jones has been dedicated to both news and data.



Dow Jones Risk & Compliance has been innovating since 2001.

Proactive Risk Intelligence



Adverse media screening (AMS) — also known as media monitoring or negative news screening — is an important part of customer due diligence (CDD). At its most simple, AMS is the process in which a customer, or prospective customer/third party, is compared (or "screened") against negative information and data sources. AMS allows firms to **identify and prevent** potential problems before they arise and also helps organizations avoid reputational damage.

Regulators recognize adverse media screening as an integral part of the customer due diligence process to prevent financial crime.

The Financial Action Task Force (FATF)	“should gather sufficient ... publicly available information”	or CDD and enhanced due diligence”
The Financial Crimes Enforcement Network (FinCEN)	“should use as part of a risk-based approach”	“as part of CDD”
6AMLD	“should be conducted”	“as part of CDD”
BaFin	“use all knowledge available to them... for example from media analyses”	“at onboarding and throughout the customer and third party lifecycle”
The Wolfsberg Group	“CDD programs must be designed to identify elements including sanctions exposure, source of funds, adverse media.” “KYC, CDD and EDD methods to screen customers for adverse media/negative news must be designed to provide adequate coverage.”	“for know your customer checks, CDD and enhanced due diligence”

What exactly is adverse media screening in your organisation?

For higher risk customers only?

More than list screening?

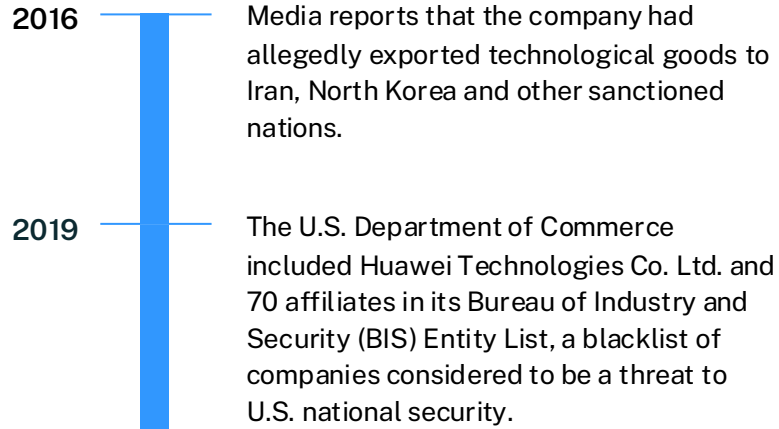
Part of EDD at onboarding?

An ongoing process? Or annual? Or event driven?

Often manual, often time consuming...

Negative news can signal early warnings of risks, such as **sanctions**.

Huawei Technologies Co

- 
- 2016 — Media reports that the company had allegedly exported technological goods to Iran, North Korea and other sanctioned nations.
- 2019 — The U.S. Department of Commerce included Huawei Technologies Co. Ltd. and 70 affiliates in its Bureau of Industry and Security (BIS) Entity List, a blacklist of companies considered to be a threat to U.S. national security.

Black Pearl Energy Trading LLC

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- 2024 — Media reports from September 2024 relayed that the company engaged in trading Russian oil above-the-cap evading sanctions
- 2025 — The company is added to the OFAC SDN list in January 2025.

The Challenges



Unsustainable
volumes of matches



Dealing with
false positives



Credibility of
news sources

Six Step Recommended Framework:

1. Set your risk appetite clearly & perform a risk assessments
2. Screen at the right time
3. Build checks based on credible, current news sources
4. Create and maintain an audit trail
5. Use (the right) technology to reduce burdens
6. Establish a strong anti-financial crime culture

What is your definition of negative media?

What kinds of crimes are you trying to cover? If you aren't going to act on the information you receive, then do you really need that information?

What media do you want to screen? Just traditional news publications? Blogs? Radio?

How far back are you screening?

Which clients are you going to screen?

AI and Automation in Negative News Analysis

NLP-powered entity detection

Contextual Risk Classification

Story clustering

Time-ranked relevance scoring

Multilingual translation

Entity resolution

Best Practice

The use of technology and AI is now a minimum requirement in the compliance landscape. Regulatory bodies are setting higher expectations for businesses to integrate these tools into their workflows to manage the exponential increase in structured and unstructured data.

While AI-driven solutions can process vast amounts of data quickly, the quality of the output is entirely dependent on the quality of the data used. Incomplete or outdated data from untrusted sources leads to erroneous compliance outcomes and inconsistent results. To avoid these risks, it is essential to utilise licensed, reliable content ensuring your technology is fed by a "crystal clear lake" rather than a polluted one.

Implementing the right technology and data helps firms adapt to regulatory changes, reduce manual effort, and maintain updated compliance programs across global jurisdictions.

Thank You

Proactive Risk Intelligence

